



DEPARTMENT OF VETERANS AFFAIRS

8320-01

Loan Guaranty: Specially Adapted Housing Assistive Technology Grant Program

AGENCY: Department of Veterans Affairs

ACTION: Notice.

SUMMARY: The Department of Veterans Affairs (VA), is announcing the availability of funds for the Specially Adapted Housing Assistive Technology (SAHAT) Grant Program for fiscal year (FY) 2017. The objective of the grant is to encourage the development of new assistive technologies for specially adapted housing.

This Notice is intended to provide applicants with the information necessary to apply for the SAHAT Grant Program. Registration will be available at [www.Grants.gov](http://www.Grants.gov). VA strongly recommends referring to the Loan Guaranty – Specially Adapted Housing Assistive Technology Grant Program final rule (38 CFR 36.4412) in conjunction with this Notice. The registration process described within this Notice applies only to applicants who will register to submit project applications for FY 2017 SAHAT Grant Program funds.

DATES: Applications for the SAHAT Grant Program must be submitted via [www.Grants.gov](http://www.Grants.gov) by 11:59 p.m. Eastern Time on February 26, 2017. The SAHAT Grant Program application package for funding opportunity, VA-SAHAT-17-02, is available through [www.Grants.gov](http://www.Grants.gov) and is listed as VA-Specially Adapted Housing Assistive

Technology Grant Program. Applications may not be sent by mail, e-mail or facsimile. All application materials must be in a format compatible with the *www.Grants.gov* application submission tool. Applications must arrive as a complete package. Materials arriving separately will not be included in the application package for consideration and may result in the application being rejected. Technical assistance with the preparation of an initial SAHAT Grant Program application is available by contacting the program official listed below.

FOR FURTHER INFORMATION CONTACT: Bryant Lacey (Program Manager), Specially Adapted Housing Program, Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420, (202) 632-8955 (not a toll-free number).

DATED: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER]

FULL TEXT OF ANNOUNCEMENT: This Notice is divided into eight sections. Section I provides a summary of and background information on the SAHAT Grant Program as well as the statutory authority, desired outcomes, funding priorities, definitions, and delegation of authority. Section II provides award information, funding availability, and the anticipated start date of the SAHAT Grant Program. Section III provides detailed information on eligibility and the threshold criteria for submitting an application. Section IV provides detailed application and submission information, including how to request an application, application content, and submission dates and times. Section V describes the review process, scoring criteria, and selection process. Section VI

provides award administration information such as award notices and reporting requirements. Section VII provides agency contacts. Section VIII provides additional information related to the SAHAT Grant Program. This Notice includes citations from 38 CFR Part 36, which applicants and stakeholders are expected to read to increase their knowledge and understanding of the SAHAT Grant Program.

## SUPPLEMENTARY INFORMATION:

### I. Program Description

#### A. Summary

Pursuant to the Veterans' Benefit Act of 2010, the Secretary of Veterans Affairs (Secretary), through the Loan Guaranty Service (LGY) of the Veterans Benefits Administration (VBA), is authorized to provide grants of financial assistance to develop new assistive technology. The objective of the grant, known as the Specially Adapted Housing Assistive Technology (SAHAT) Grant Program, is to encourage the development of new assistive technologies for adapted housing.

#### B. Background

LGY currently administers the Specially Adapted Housing (SAH) Program.

Through this program, LGY provides funds to eligible veterans and servicemembers with certain service-connected disabilities to help purchase or construct an adapted home, or modify an existing home, to allow them to live more independently. Currently, most SAH adaptations involve structural modifications such as ramps, wider hallways and doorways, roll-in showers and

other accessible bathroom features, etc. For more information about the SAH Program, please visit:

*<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>.*

VA acknowledges there are many emerging technologies that could improve home adaptations or otherwise enhance a veteran's or servicemember's ability to live independently, such as voice-recognition and voice-command operations, living environment controls, and adaptive feeding equipment. Therefore, VA has defined "new assistive technology" as an advancement that the Secretary determines could aid or enhance the ability of a veteran or servicemember to live in an adapted home. SAHAT funding will support the creation of assistive technologies that veterans and servicemembers can use in order to facilitate optimal independence in their homes.

PLEASE NOTE: SAHAT funding does not support the construction or modification of residential dwellings for accessibility. Veterans and servicemembers interested in receiving assistance to adapt a home are encouraged to review the following factsheet:

*<http://www.prosthetics.va.gov/factsheet/PSAS-FactSheet-Housing-Adaptation-Programs.pdf>* to identify Home Adaptation programs offered by VA.

### C. Statutory Authority

Public Law 111–275, the Veterans' Benefits Act of 2010 (the Act), was enacted on October 13, 2010. Section 203 of the Act amended chapter 21, title 38, United States Code (U.S.C.), to establish the SAHAT Grant Program. The Act

authorized VA to provide grants of up to \$200,000 per fiscal year, through September 30, 2016, to a “person or entity” for the development of specially adapted housing assistive technologies limited to \$1 million, the aggregate amount of such grants VA may award in any fiscal year.

On September 29, 2016, P.L.114-228, the Department of Veterans Affairs Expiring Authorities Act of 2016 was enacted. Title IV, Section 409 extended the authority for VA to provide grants in the manner listed above, through September 30, 2017.

Reference: 38 U.S.C. 2108 and 36 CFR 36.4412

#### D. Desired Outcomes and Funding Priorities

Grantees will be expected to leverage grant funds to develop new assistive technologies for specially adapted housing. Pursuant to 36 C.F.R. § 36.4412, the Secretary may establish scoring priorities based on the specific needs of veterans and servicemembers. For FY 2017, the Secretary has established innovation and unmet needs, as described in scoring criteria 1 and 2 contained in Section V(A) of this notice, as top priorities. Additional information regarding how these priorities will be scored is contained in Section V(A) of this notice.

## E. Definitions

Definitions of terms used in the SAHAT Grant Program are found at 38 CFR 36.4412(b).

## F. Delegation of Authority

Pursuant to 38 CFR 36.4412(i), each VA employee appointed to or lawfully fulfilling any of the following positions is hereby delegated authority, within the limitations and conditions prescribed by law, to exercise the powers and functions of the Secretary with respect to the SAHAT Grant Program authorized by 38 U.S.C. 2108:

1. Under Secretary for Benefits
2. Deputy Under Secretary for Economic Opportunity
3. Director, Loan Guaranty Service
4. Deputy Director, Loan Guaranty Service

## II. Award Information

### A. Funding Availability

The aggregate amount of assistance VA may award in any fiscal year is limited to \$1 million. This funding will be provided as an assistance agreement in the form of grants. The number of assistance agreements VA will fund as a result of this notice will be based on the quality of the technology grant applications received and the availability of funding. However, the maximum amount of

assistance a technology grant applicant may receive in any fiscal year is limited to \$200,000.

**B. Additional Funding Information**

Funding for these projects is not guaranteed and is subject to the availability of funds and the evaluation of technology grant applications based on the criteria in this announcement. In appropriate circumstances, VA reserves the right to partially fund technology grant applications by funding discrete portions or phases of proposed projects. If VA decides to partially fund a technology grant application, it will do so in a manner that does not prejudice any application or affect the basis upon which the application, or portion thereof, was evaluated and selected for award, and therefore maintains the integrity of the competition and selection process. Award of funding through this competition is not a guarantee of future funding. The SAHAT Grant Program is administered annually and does not guarantee subsequent awards. Renewal grants to provide new assistive technology will not be considered under this announcement.

**C. Start and Close-out Date**

The anticipated start date of grants funded under this announcement is April 3, 2017. Grant projects must be closed out by September 30, 2018.

### III. Eligibility Information

#### A. Eligible Applicants

As authorized by 38 U.S.C. 2108, the Secretary may provide a grant to a “person or entity” for the development of specially adapted housing assistive technologies. In order to foster competition and best serve the needs of veterans and servicemembers, VA is placing no restrictions on the types of eligible entities, except as noted in Section III(C) of this notice.

#### B. Cost Sharing or Matching

There is no cost sharing, matching, or cost participation for the SAHAT Grant Program. However, leveraged resources will be considered as an evaluation criterion during the application review process (see scoring criterion 6 in Section V of this announcement). Leveraged resources are not included in the approved budget (outlined in the Standard Form 424A- BUDGET INFORMATION - Non-Construction Programs) for the project and need not be an eligible and allowable cost under the grant. Any form of proposed leveraging that is evaluated under Section V scoring criteria must be included in the application and the application must describe how the technology grant applicant will obtain the leveraged resources and what role VA funding will play in the overall project.

#### C. Threshold Criteria



As stated in Section III(A), VA is placing no restrictions on the types of eligible entities. However, all technology grant applicants and applications must meet the threshold criteria set forth below. Failure to meet any of the following threshold criteria in the application will result in the automatic disqualification for funding consideration. Ineligible participants will be notified within 30 days of the finding of disqualification for award consideration based on the following threshold criteria:

1. Projects funded under this notice must involve new assistive technologies that the Secretary determines could aid or enhance the ability of a veteran or servicemember to live in an adapted home.
2. Projects funded under this notice must not be used for the completion of work which was to have been completed under a prior grant.
3. Applications in which the technology grant applicant is requesting assistance funds in excess of \$200,000 will not be reviewed.
4. Applications that do not comply with the application and submission information provided in Section IV of this notice will be rejected.
5. Applications submitted via mail, e-mail, or facsimile will not be reviewed.
6. Applications must be received through *www.Grants.gov*, as specified in Section IV of this announcement, on or before the application deadline, February 26, 2017. Applications received through *www.Grants.gov* after the application deadline will be considered late and will not be reviewed.

7. Technology grant applicants that have an outstanding obligation to the Federal Government that is in arrears or have an overdue or unsatisfactory response to an audit will be deemed ineligible.
8. Technology grant applicants in default by failing to meet the requirements for any previous Federal assistance will be deemed ineligible.
9. Applications submitted by entities deemed ineligible will not be reviewed.
10. Applications with project dates that extend past September 30, 2018, will not be reviewed.

All technology grant recipients, including individuals and entities formed as for-profit entities, will be subject to the rules on Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and other Non-profit Organizations, as found at 2 CFR Part 200. Where the Secretary determines that 2 CFR Part 200 is not applicable or where the Secretary determines that additional requirements are necessary due to the uniqueness of a situation, the Secretary will apply the same standard applicable to exceptions under 2 CFR 200.102.

#### IV. Application and Submission Information

##### A. Address to Request Application Package

Technology grant applicants may download the application package from [www.Grants.gov](http://www.Grants.gov). Questions regarding the application process should be referred to the program official:

Bryant Lacey (Program Manager)

Specially Adapted Housing Program

Bryant.Lacey@va.gov

(202) 632-8955 (This is not a toll-free number.)

#### B. Content and Form of Application Submission

The SAHAT Grant Program application package provided at [www.Grants.gov](http://www.Grants.gov) (Funding Opportunity Number: VA-SAHAT-17-02) contains electronic versions of the application forms that are required. Additional attachments to satisfy the required application information may be provided; however, letters of support included with the application will not be reviewed. All technology grant applications must consist of the following:

1. Standard Forms (SF) 424, 424A and 424B: The SF-424, SF-424A, and SF-424B require general information about the applicant and proposed project. The project budget should be described in SF-424A. Please do not include leveraged resources in SF-424A.
2. VA Form 26-0967: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
3. VA Form 26-0967a: Scoring Criteria for SAH Assistive Technology Grants

4. Applications: In addition to the forms listed above, each technology grant application must include the following information:

- a. A project description, including the goals and objectives of the project, what the project is expected to achieve, and how the project will benefit veterans and servicemembers.
- b. An estimated schedule including the length of time (not to extend past September 30, 2018) needed to accomplish tasks and objectives for the project.
- c. A description of what the project proposes to demonstrate and how this new technology will aid or enhance the ability of veterans and servicemembers to live in an adapted home. The following link has additional information regarding adapted homes: <http://www.benefits.va.gov/homeloans/adaptedhousing.asp>
- d. Each technology grant applicant is responsible for ensuring that the application addresses each of the scoring criteria listed in Section V(A) of this notice.

C. Dun and Bradstreet Universal Numbering System (DUNS) and System for Award Management (SAM)

Each technology grant applicant, unless the applicant is an individual or Federal awarding agency that is excepted from these requirements under 2 CFR 25.110(b) or (c), or has an exception approved by VA under 2 CFR 25.110(d), is required to:

- 1. Be registered in SAM prior to submitting an application;

2. Provide a valid DUNS number in the application; and
3. Continue to maintain an active SAM registration with current information at all times during which the technology grant applicant has an active Federal award or an application under consideration by VA.

VA will not make an award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if the applicant has not fully complied with the requirements by the time VA is ready to make an award, VA will determine the applicant is not qualified to receive a Federal award and will use this determination as a basis for making the award to another applicant.

#### D. Submission Dates and Times

Applications for the SAHAT Grant Program must be submitted via *www.Grants.gov* to be transmitted to VA by 11:59 p.m. Eastern Time on February 26, 2017. Submissions received after this application deadline will be considered late and will not be reviewed or considered. Submissions via e-mail, mail, or fax will not be accepted.

Applications submitted via *www.Grants.gov* must be submitted by an individual registered with *www.Grants.gov* and authorized to sign applications for Federal assistance. For more information and to complete the registration process, visit *www.Grants.gov*. Technology grant applicants are responsible for ensuring that the registration process does not hinder timely submission of the application.

It is the responsibility of grant applicants to ensure a complete application is submitted via *www.Grants.gov*. Applicants are encouraged to periodically review the “Version History Tab” of the funding opportunity announcement in *www.Grants.gov* to identify if any modifications have been made to the funding announcement and/or opportunity package. Upon initial download of the funding opportunity package, applicants will be asked to provide an e-mail address that will allow *www.Grants.gov* to send you an e-mail message in the event this funding opportunity package is changed and/or republished on *www.Grants.gov* prior to the posted closing date.

#### E. Confidential Business Information

It is recommended that confidential business information (CBI) not be included in your application. However, if CBI is included in your application, it will be handled by VA in accordance with 2 CFR 200. Applicants must clearly indicate which portion(s) of their application they are claiming as CBI. VA will evaluate such claims in accordance with 2 CFR 200. If no claim is made, VA is not required to make an inquiry of the applicant. If CBI is included, please provide as much detail as possible to ensure a comprehensive review of the application can be completed.

#### F. Intergovernmental Review

This section is not applicable to the SAHAT Grant Program.

## G. Funding Restrictions

The SAHAT Grant Program does not allow reimbursement of pre-award costs.

## V. Application Review Information

Each eligible proposal (based on the Section III threshold eligibility review) will be evaluated according to the criteria established by the Secretary and provided as described below in Section A.

### A. Scoring Criteria

The Secretary will score technology grant applications based on the scoring criteria listed below. As indicated in Section I of this notice, the Secretary is placing the greatest emphasis on criteria 1 and 2. The establishment of priorities does not establish new scoring criteria but is designed to assist technology grant applicants in understanding how scores will be weighted. Although there is not a cap on the maximum aggregate score possible, a technology grant application must receive a minimum aggregate score of 70. Instructions for completion of the scoring criteria are listed on VA Form 26-0967a. This form is included in the application package materials on [www.Grants.gov](http://www.Grants.gov). The scoring criteria and maximum points are as follows:

1. A description of how the new assistive technology is innovative (up to 50 points);

2. An explanation of how the new assistive technology will meet a specific, unmet need among eligible individuals (up to 50 points);
3. An explanation of how the new assistive technology is specifically designed to promote the ability of eligible individuals to live more independently (up to 30 points);
4. A description of the new assistive technology's concept, size, and scope (up to 30 points);
5. An implementation plan with major milestones for bringing the new assistive technology into production and to the market. Such milestones must be meaningful and achievable within a specific timeframe (up to 30 points); and
6. An explanation of what uniquely positions the technology grant applicant in the marketplace. This can include a focus on characteristics such as the economic reliability of the technology grant applicant, the technology grant applicant's status as a minority or Veteran-owned business, or other characteristics that the technology grant applicant wants to include to show how it will help protect the interests of, or further the mission of, VA and the program (up to 20 points).

## **B. Review and Selection Process**

Eligible applications will be evaluated by a five-person review panel comprised of VA employees. The review panel will score applications using the scoring criteria provided in Section V(A), with the greatest emphasis being placed on scoring criteria 1 and 2. The review panel will then rank those applications that receive a



minimum aggregate score of 70 in order from highest to lowest. The delegated official will select the highest ranked application(s) based on, and subject to, the availability of funds.

## VI. Award Administration Information

### A. Award Notices

Although subject to change, the SAHAT Grant Program Office expects to announce grant recipients by April 1, 2017. Prior to executing any funding agreement, VA will contact successful applicants, make known the amount of proposed funding, and verify the applicant's desire to receive the funding. Any communication between the SAHAT Grant Program Office and successful applicants prior to the issuance of an award notice is not authorization to begin project activities. Once VA verifies that the grant applicant is still seeking funding, VA will issue a signed and dated award notice. The award notice will be sent by U.S. Mail to the organization listed on the SF-424.

All applicants will be notified by letter, sent by U.S. Mail to the address listed on the SF-424.

### B. Administrative and National Policy Requirements

This section is not applicable to the SAHAT Grant Program.

### C. Reporting

VA places great emphasis on the responsibility and accountability of grantees.

Grantees must agree to cooperate with any Federal evaluation of the program and provide the following:

1. Quarterly Progress Reports: These reports will be submitted electronically and outline how grant funds were used, describe program progress, and describe any barriers and measurable outcomes. Grantees will utilize the Research Performance Progress Report for quarterly reporting purposes.
2. Quarterly Financial Reports: These reports will be submitted electronically using the SF-425- Federal Financial Report.
3. Grantee Closeout Report: This final report will be submitted electronically and will detail the assistive technology developed. The Closeout Report must be submitted to the SAHAT Grant Program Office not later than 11:59p.m. Eastern Time, September 30, 2018.

### D. Disputes

Competition-related disputes associated with this announcement will be resolved in accordance with 2 CFR 200, et seq.

### VII. Agency Contact(s)

For additional general information about this announcement contact the program official:

Bryant Lacey (Program Manager)

Specially Adapted Housing Program

Bryant.Lacey@va.gov

(202) 632-8955 (This is not a toll-free number.)

If mailing correspondence, other than application material, please send to:

Loan Guaranty Service

VA Central Office

Attn: Bryant Lacey (262)

810 Vermont Avenue, N.W.

Washington, DC 20420

All correspondence with VA concerning this announcement should reference the funding opportunity title and funding opportunity number listed at the top of this solicitation. Once the announcement deadline has passed, VA staff may not discuss this competition with applicants until the application review process has been completed.

#### VIII. Other Information

38 U.S.C. 2108 authorizes VA to provide grants for the development of new assistive technologies through September 30, 2017. Additional information related to the SAH program administered by LGY is available at:

*<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>.*

The SAHAT Grant is not a veterans' benefit. As such, the decisions of the Secretary are final and not subject to the same appeal rights as decisions related

to veterans' benefits. The Secretary does not have a duty to assist technology grant applicants in obtaining a grant.

Grantees will receive payments electronically through the U.S. Department of Health and Human Services Payment Management System.

Signing Authority

The Secretary of Veterans Affairs, or designee, approved this document and authorized the undersigned to sign and submit the document to the Office of the Federal Register for publication electronically as an official document of the Department of Veterans Affairs. Gina S. Farrisee, Deputy Chief of Staff, approved this document on January 3, 2017, for publication.

Dated: January 11, 2017

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Jeffrey Martin  
Office Program Manager  
Office of Regulation Policy & Management  
Office of the Secretary  
Department of Veterans Affairs  
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